

# Traumatic Brain Injury in Admitted Patients with Ocular Trauma

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<sup>1</sup>Keck School of Medicine of University of Southern California, Department of Medicine, Los Angeles, USA
<sup>2</sup>University of Utah, John A Moran Eye Center, Salt Lake City, USA
<sup>3</sup>Yale University, Yale Eye Center, New Haven, USA
<sup>4</sup>University of Pennsylvania, Research Services Department, Philadelphia, USA
<sup>5</sup>Jacobi Medical Center, Department of Surgery (Ophthalmology), Bronx, USA
<sup>6</sup>Albert Einstein College of Medicine, Department of Ophthalmology and Visual Sciences, Bronx, USA

#### **Abstract**

**Objectives:** To characterize the epidemiology of simultaneous traumatic brain injury (TBI) and ocular trauma.

**Materials and Methods:** In this retrospective, observational study, de-identified data from patients admitted with ocular trauma and TBI was extracted from the National Trauma Data Bank (2008-2014) using International Classification of Diseases 9<sup>th</sup> Revision, Clinical Modification diagnostic codes and E-codes relating to injury circumstances. Mechanisms, types of ocular and head injuries, intention, and demographic distribution were determined. Association of variables was calculated with Student's t and chi-squared tests and logistic regression analysis.

**Results:** Of 316,485 patients admitted with ocular trauma, 184,124 (58.2%) also had TBI. The mean (standard deviation [SD]) age was 41.8 (23) years. Most were males (69.8%). Race/ethnicity distribution was 68.5% white, 13.3% black, and 11.4% Hispanic patients. The mean (SD) Glasgow Coma Score (GCS) was 12.4 (4.4) and Injury Severity Score (ISS) was 17 (10.6). Frequent injuries were orbital fractures (49.3%) and eye/adnexa contusions (38.3%). Common mechanisms were falls (27.7%) and motor vehicle-occupant (22.6%). Firearm-related trauma (5.2%) had the greatest odds of very severe injury (ISS >24) (odds ratio [OR]: 4.29; p<0.001) and severe TBI (GCS <8) (OR: 5.38; p<0.001). Assault injuries were associated with the greatest odds of mild TBI (OR: 1.36; p<0.001) and self-inflicted injuries with severe TBI (OR: 8.06; p<0.001). Eye/adnexal contusions were most associated with mild TBI (OR: 1.25;

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Address for Correspondence: Joyce N. Mbekeani, Jacobi Medical Center,
Department of Surgery (Ophthalmology); Albert Einstein College of Medicine,
Department of Ophthalmology and Visual Sciences, Bronx, USA
E-mail: jnanjinga888@gmail.com ORCID-ID: orcid.org/0000-0002-8801-4110
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p<0.001). Optic nerve/visual pathway injuries had greater odds of severe TBI (OR: 2.91; p<0.001) and mortality (OR: 2.27; p<0.001) than other injuries. Of associated head injuries, the odds of severe TBI were greatest with skull base fractures (OR: 4.07; p<0.001) and mortality with intracerebral hemorrhages (OR: 4.28; p<0.001). Mortality occurred in 5.9% of patients.

**Conclusion:** TBI occurred in nearly two-thirds of ocular trauma admissions. The mortality rate was low with implications for challenging rehabilitation and long-term disability in survivors.

**Keywords:** Demographic disparity, falls, intention, motor vehicle accidents, ocular trauma, traumatic brain injury

#### Introduction

Traumatic brain injury (TBI) is defined as brain injury resulting from blunt force to the head or body, or penetration of the brain or skull. The Centers for Disease Control and Prevention (CDC) categorized TBI into groups based on International Classification of Diseases (ICD) classifications, which include skull fractures, various intracranial injuries, optic nerve/visual pathway injuries, and shaken baby syndrome. TBI is a leading cause of disability and death in the United States and has been shown to predispose children to developmental delay and adults to dementia. The United States has the highest incidence of TBI in the world, and it causes a disproportionately large financial burden comprising costs from acute medical care to long-term rehabilitation and associated disability. The annual burden of TBI has been estimated to be \$13 billion, with a further \$64.7 billion from lost productivity.

Mild TBI has been associated with deficits in visual fields, accommodation, vergence, and versions, while more severe TBI may result in additional signs of structural damage. Fifteen percent of those who experience visual symptoms acutely subsequently develop chronic visual deficits. TBI also may lead to chronic psychological and neurocognitive deficits



including attention, visuospatial association, and executive function. Table 1 summarizes the types and ophthalmic consequences of TBI. The proximity and contiguity of the eye to the brain increases the likelihood of simultaneous injuries. While ocular injury is the most common cause of monocular blindness in the United States, the majority survive their injuries. However, mortality in patients with ocular trauma most

commonly is associated with concurrent TBI.<sup>11</sup> While several reports have detailed the spectrum of ophthalmic manifestations of TBI, <sup>9,10,12,13,14,15,16,17</sup> few studies have studied simultaneous ocular trauma and TBI, with most reports detailing combatrelated blast injuries. <sup>18,19,20,21,22,23</sup> We used a national database to characterize epidemiologic patterns of non-combat injury in the United States. Identification of at-risk groups and

Table 1. Summary of types of trauma	ntic brain injury and ophthalmic consequences
	Mild (concussion or GCS 13-15) most common
Types of TBI	Moderate (GCS 9-12)
	Severe (GCS <8)
D	Direct (blunt, penetrating)
Primary TBI (initial injury)	Indirect (rotational and acceleration/deceleration forces, pressure waves)
	Diffuse axonal injury
Types of primary TBI	Hematoma
	Contusion
Secondary TBI (cascade of events following initial Most recover from mild TBI (mTBI) within 30.  • Few mTBI proceed to secondary axonopathy expressions are secondary axonopathy expressions.	
	Diffuse cerebral edema
	Vascular/cellular dysregulation
	Hypoxia/anoxia
Pathophysiology of secondary TBI	Hypotension
	Inflammation
	Metabolic dysfunction
	Traumatic optic neuropathy  • Decreased acuity  • Dyschromatopsia  • Contrast sensitivity deficits  Visual field defects
	Ocular motor nerve palsies (III, IV, VI) → strabismus/impaired ductions
	Accommodation insufficiency
	Saccade and anti-saccade deficits
	Smooth pursuit deficits
	Convergence insufficiency
	Nystagmus
Ophthalmic consequences	Pupillary reaction abnormalities
	Stereopsis reduction
	Sympathetic pathway disruption - Horner's syndrome
	Brain stem injuries  Internuclear ophthalmoplegia  Dorsal midbrain syndrome
	Higher order dysfunctions
	Photophobia
	Visual memory deficits
	Reaction time deficits
	Reading deficits
Table constructed with information derived from referen	nces detailing visual consequences in TBI. 12,13,14,15,16 TBI: Traumatic brain injury, GCS: Glasgow Coma Score

the circumstances surrounding their injuries may help guide clinical practice, develop preventative measures, and provide a foundation for further research.

### Materials and Methods

The institutional review board of the Albert Einstein College of Medicine approved this evaluation of the National Trauma Data Bank (NTDB) (approval no: #2015-4769, date: 04.08.2015). All data in the NTDB is de-identified and patient consent was deemed unnecessary. The NTDB is one of the world's largest trauma registries and is maintained by the American College of Surgeons. It contains de-identified data from over 900 centers of all levels.<sup>24</sup> Patients included in this study were admitted with ICD Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes 800.00 to 959.9. Details of our methodology and the specific codes used are outlined in a previous publication. 19 The definition of TBI was based on CDC ICD-9-CM criteria: factures of the vault and base of skull (800.0-801.9); multiple fractures of the skull (803.0-804.9); intracranial injuries including concussion, laceration, hemorrhage, and contusion (850.1-850.5, 850.9, 851.0-854.1); injury of the optic nerve and pathway (950.1-950.3); shaken baby syndrome (995.55); and unspecified head injury (959.01).2 ICD-9-CM codes of ocular injuries were extracted and the associated E-codes (external circumstances of injury) are summarized in Supplementary Table 1. The specific ocular injury categories based on Birmingham Eye Trauma Terminology System<sup>25</sup> and Ocular Trauma Score (OTS)<sup>26</sup> used by ocular trauma surgeons for unified terminology and prediction of visual outcome are not available in the NTDB and were not used in this study.

For each patient, the injury type, mechanism, intent, and location; demographic data including gender, age, race, and ethnicity; and information about the hospital course, including the year of admission, trauma center level (1-4), and length of stay, were documented. Both Injury Severity Score (ISS) and Glasgow Coma Score (GCS) were collected to classify injury severity. ISS is the numerical assignation given to all major

trauma, based on parts of the body involved and the degree of injury. These numbers have been found to correlate with hospital stay, morbidity, and mortality. For logistic regression analysis, continuous variables were categorized. ISS was categorized according to NTDB subgroups as minor (1-8), moderate (9-15), severe (16-24), and very severe (>24). GCS, an index of degree of TBI, was categorized as mild (13-15), moderate (9-12), and severe (≤8) brain injury. Age was divided into three groups: pediatric (<21 years), adult (21-64 years), and older adult (≥65 years). Mortality was determined by disposition data, which included discharged home; transferred to another hospital, nursing home, or rehabilitation facility; left against medical advice; transferred to hospice; and death.

## Statistical Analysis

All data calculations were performed using SPSS version 24 software (IBM Corp, Armonk, NY, USA). The mean, standard deviation (SD), median, and interquartile range were calculated for all continuous variables. Associations between variables were determined using Student's t-test and chi-squared test. Univariate logistic regression was used to calculate odds ratios (OR) to determine the relative strength of the association between demographic groups, mechanisms of injury, and degree of TBI and injury severity. Statistical significance was set at p<0.05. Data categorized as undetermined or unknown were excluded from analyses.

#### Results

#### General Characteristics

Of 316,485 patients admitted with ocular trauma, 184,124 (58.2%) were also diagnosed with TBI. The mean (SD) age was 43 (23.1) years. Most patients were in the 21-64 year age group (111,494; 60.6%). Males comprised 128,580 (69.8%) and were, on average, younger than females (40.4 vs. 49.1 years; p<0.001). Males outnumbered females in all groups except the ≥80 years age group (Figure 1). By race, 126,090 patients (68.5%) were white, 24,445 (13.3%) were black, and Hispanics represented

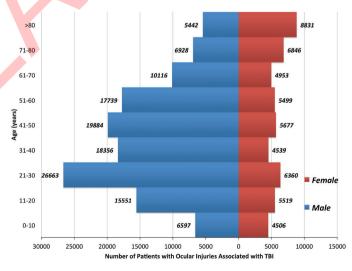


Figure 1. Frequency of ocular trauma associated with traumatic brain injury (TBI) in different age groups. The patients in the most productive years of life, 21-64 years old, comprised the largest group with concurrent ocular trauma and TBI (60.6%)

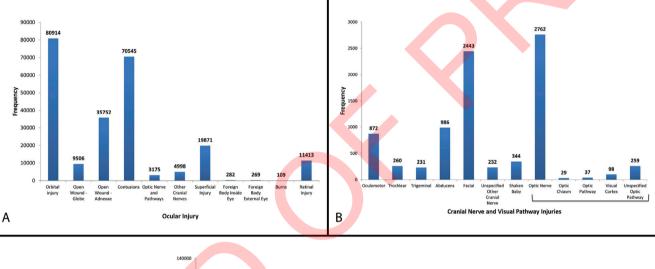
21,025 (11.4%). The most common mechanisms of trauma were falls (51,041; 27.7%), motor vehicle traffic-occupant (MVTO) (41,629; 22.6%), and struck by/against (SBA) (27,942; 15.2%). Blunt force traumas (159,414; 86.6%) outnumbered penetrating traumas (5884; 3.2%). Frequent locations of injury were the street (82,092; 44.6%) and home (45,631; 24.8%). Most injuries

were unintentional (137,454; 74.7%), followed by assault (35,281; 19.2%) and self-inflicted (3,056; 1.7%) (Table 2).

Common ocular injuries were orbital (80,914; 43.9%), contusions of eye/adnexa (70,545; 38.3%), and open wound of ocular adnexa (35,752; 15.1%) (Figure 2A). Retinal injuries were documented in 11,413 patients (6.2%). Of these, the

Characteristic	Number	Percent	Characteristic	Number	Percent	Mean (SD)	Median (IQR
Year			Age (years)			43 (23.1)	41 (24-60)
2008	22584	12.3	0-10	11103	6		
2009	25152	13.7	11-20	21070	11.4		
2010	25396	13.8	21-30	33023	17.9		
2011	25168	13.7	31-40	22895	12.4		
2012	28375	15.4	41-50	25561	13.9		
2013	27806	15.1	51-60	23238	12.6		
2014	29643	16.1	61-70	15069	8.2		
Total	184124	100.0	71-80	13774	7.5		
			>80	14273	7.8		
Gender			Unknown	4118	0.2		
Female	55544	30.2					
Male	128580	69.8	Hospital stay			7.5 (11.4)	4 (2-8)
			1 day	35046	19		( - /
Race			2-3 days	52854	28.7		
Black	24445	13.3	4-6 days	38837	21.1		
White	126090	68.5	>6 days	57140	31.0		
Other	33589	18.2	Unknown	247	0.1		
	3330)	10.2	- Chillian William	21/	0.1		
Hispanic	21025	11.4	Mortality	10787	5.9		
1							
Hospital			ISS			17 (10.6)	14 (9-22)
Level I	69799	37.9	1-8 (mild)	32354	17.6	17 (10.0)	110 22)
Level II	34447	18.7	9-15 (moderate)	58941	32.0		
Level III	3198	1.7	16-24 (severe)	47413	25.8		
Level IV	252	0.1	>24 (very severe)	36644	19.9		
Not applicable	76428	41.5	Unknown	8772	4.7		
Tr	10.00		GCS	7,,2		12.2 (4.2)	14 (11-15)
Locations			<8 (severe)	23862	13	.=.= (2)	
Street	82092	44.6	9-12 (moderate)	10859	5.9		
Home	45631	24.8	13-15 (mild)	83849	45.5		
Public building	10294	5.6	Unknown	65554	35.6		
Recreation	7190	3.9	Cinciowii	0,7,71	55.0		
Residential institution	6260	3.4	Mechanisms				
Industry			Fall	510/1	27.7		
Farm	3203 1043	0.6	MVT-occupant	51041 41629	27.7		
Mine	99	0.6	_ ·	27942			
	1		Struck by/against		15.2		
Other	9014	7.7	MVT-motorcyclist	11575	6.3		
Unspecified			MVT-pedestrian	8168	_		
Unknown	5072	2.8	Firearms	5634	3.1		
			Other	10274	5.6		
			Unknown	7340	4		

Table 2. Continued							
Characteristic	Number	Percent	Characteristic	Number	Percent	Mean (SD)	Median (IQR)
US regions							
Midwest	37043	20.1					
Northeast	37066	20.1	Intention				
South	63874	34.7	Assault	35281	19.2		
West	42356	23	Self-inflicted	3056	1.7		
Not applicable	875	0.5	Unintentional	137454	74.7		
Unknown	2910	1.6	Other	46	0.0		
			Undetermined	947	0.5		
			Unknown	7340	4		
SD: Standard deviation, IQR	R: Interquartile range, IS	S: Injury Severity Sco	ore, GCS: Glasgow Coma Score, N	IVT: Motor vehicle trai	ffic, US: United S	states of America	





**Figure 2.** (A) Spectrum of ocular trauma associated with traumatic brain injury (TBI). The most common injuries seen include orbital (34.2%), contusions (29.8%), and open adnexal injuries (15.1%). (B) Cranial nerve injuries in ocular trauma associated with TBI. The most frequent cranial nerves affected were the optic, facial, and abducens nerves. Of visual pathway injuries (indicated by bracket), the optic nerve was most frequently injured. (C) Associated head injuries in ocular trauma associated with TBI. Facial bone fractures far outnumbered other head injuries

majority were listed as retinal edema (11,132; 6%); retinal hemorrhages, retinal holes, and vitreous hemorrhages each occurred in <1% of cases. Cranial nerve and visual pathway injuries occurred in 8,553 patients (4.6%). Of these, optic nerve/ visual pathways injuries (2,762; 32.3%) were most common, followed by facial nerve (2,443; 28.6%) and abducens nerve (986; 11.5%) (Figure 2B). Frequent head injuries were facial

fractures (118,863; 64.6%), subarachnoid hemorrhage (32,766; 17.8%), and subdural hemorrhage (32,632; 17.7%) (Figure 2C). Most patients had moderate ISS (58,941; 32%) and mild GCS (126,107; 68.5%). The mean (SD) ISS was 17 (10.6) and mean (SD) GCS was 12.4 (4.4). The mean (SD) hospital stay was 7.5 (11.4) days and the mortality rate was 5.9% (10,787 patients).

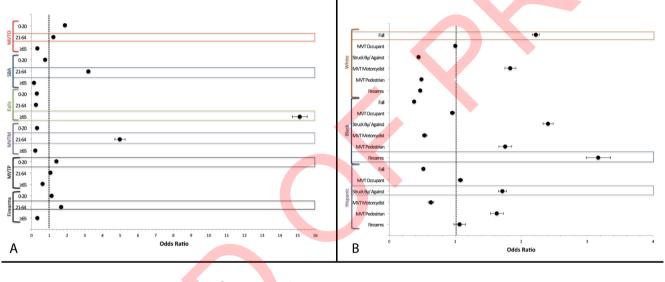
#### Comparative Analysis

# Demographic Differences

Patients younger than 21 years of age had the greatest odds of trauma by MVTO (OR: 1.88; 95% confidence interval [CI], 1.83-1.93; p<0.001), while the 21-64 year group had greater odds of trauma due to motor vehicle traffic-motorcyclist (MVTM) (OR: 4.98; 95% CI, 4.70-5.27; p<0.001) than the other age groups. Patients 65 or older had greater odds of sustaining injury from falls (OR: 15.12; 95% CI, 14.71-15.54; p<0.001) (Figure 3A). Regarding intention, the highest odds of unintentional injury were in patients younger than 21 (OR: 1.07; 95% CI, 1.04-1.10; p<0.001) and 65 or older (OR: 7.81;

95% CI, 7.43-8.22; p<0.001), while patients 21-64 years old had the greatest odds of assault (OR: 2.91; 95% CI, 2.83-2.99; p<0.001) and self-inflicted injuries (OR: 1.98; 95% CI, 1.83-2.16; p<0.001). The youngest group had higher odds of injury in recreational facilities than other locations (OR: 2.93; 95% CI, 2.79-3.08; p<0.001), the 21-64 year group, in the street (OR: 1.96; 95% CI, 1.92-2.00; p<0.001), and those 65 or older, at home (OR: 4.42; 95% CI, 4.31-4.53; p<0.001).

Females were more likely to have unintentional injury (OR: 3.15; 95% CI, 3.06-3.25; p<0.001) and injury by fall (OR: 2.77; 95% CI, 2.71-2.84; p<0.001) or MVTO (OR: 1.37; 95% CI, 1.34-1.40; p<0.001). Females also had greater odds of injury at home (OR: 2.11; 95% CI, 2.06-2.15; p<0.001) and in



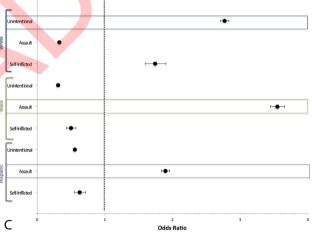


Figure 3. (A) Summary of the simple logistic regression analysis of mechanisms of injury and different age groups in ocular trauma associated with TBI. The odds of falls were 15 times higher in patients aged 65 and older compared to other age groups, while MVTM, SBA, and firearms were most likely in the 21-64 year age group. (B) Summary of the simple logistic regression analysis of mechanisms of injury and race/ethnicity in ocular trauma associated with TBI. White patients had the greatest odds of injury from falls and MVTM, black patients from firearms and SBA, and Hispanics from SBA and MVTP. (C) Summary of the simple logistic regression analysis of intention of injury and race/ethnicity in ocular trauma associated with TBI. White patients had the greatest odds of unintentional injury and were also most likely to suffer self-inflicted injury. Blacks and Hispanics were most likely to suffer injury by assault

TBI: Traumatic brain injury, MVTO: Motor vehicle traffic-occupant, SBA: Struck by/against, MVTM: Motor vehicle traffic-motorcyclist, MVTP: Motor vehicle traffic-pedestrian

residential facilities (OR: 2.26; 95% CI, 2.15-2.38; p<0.001). Males were more likely to sustain injury from assault (OR: 3.20; 95% CI, 3.10-3.30; p<0.001) and self-inflicted injury (OR: 2.23; 95% CI, 2.02-2.45; p<0.001). Males also had greater odds of injury by SBA (OR: 3.87; 95% CI, 3.73-4.02; p<0.001), MVTM (OR: 3.28; 95% CI, 3.10-3.47; p<0.001), and firearms (OR: 2.72; 95% CI, 2.52-2.93; p<0.001), and were more likely to sustain injury in the street (OR: 1.24; 95% CI, 1.21-1.27; p<0.001) than females.

White patients were older than black or Hispanic patients, with the greatest odds of being 65 years or older (OR: 2.84; 95% CI, 2.75-2.92; p<0.001). Black patients had the greatest odds of being 21-64 years old (OR: 1.59; 95% CI, 1.54-1.64; p<0.001) and slightly lower odds of being younger than 21 (OR: 1.29; 95% CI, 1.25-1.34; p<0.001). Hispanic patients had greater odds of being younger than 21 years old (OR: 1.56; 95% CI, 1.51-1.61; p<0.001) and lower odds of being 21-64 years old (OR: 1.31; 95% CI, 1.27-1.35; p<0.001) than non-Hispanics. White patients were most likely to be injured by falls (OR: 2.22; 95% CI, 2.17-2.28; p<0.001) and black patients by firearms (OR: 3.17; 95% CI, 2.99-3.36; p<0.001) compared to other races. Hispanic patients also had the greatest odds of injury by SBA (OR: 1.71; 95% CI, 1.65-1.78; p<0.001) and similarly high odds of motor vehicle traffic-pedestrian (MVTP) (OR: 1.63; 95% CI, 1.53-1.73; p<0.001) (Figure 3B). Regarding intent, white patients had the highest odds of unintentional injury (OR: 2.77; 95% CI, 2.71-2.84; p<0.001), while black (OR: 3.55; 95% CI, 3.45-3.66; p<0.001) and Hispanic patients (OR: 1.90; 95% CI, 1.84-1.96; p<0.001) had the greatest odds of injury from assault (Figure 3C).

#### Mechanism of Trauma

Injury severity varied with the mechanism of injury. SBA (OR: 2.25; 95% CI, 2.18-2.32; p<0.001) had the greatest odds of being associated with minor injury (ISS 1-8), while falls (OR: 1.27; 95% CI, 1.25-1.30; p<0.001) were most associated with moderate injury (ISS 9-15), and MVTO (OR: 1.68; 95% CI, 1.64-1.76; p<0.001) and firearms (OR: 4.29; 95% CI, 4.06-4.53; p<0.001) had greater odds of being associated with very severe injury (ISS >24) than with other severities. GCS also varied with mechanism of injury. Injuries from falls (OR: 2.28; 95% CI, 2.21-2.34; p<0.001) and SBA (OR: 2.43; 95% CI, 2.34-2.52; p<0.001) had the greatest odds of being associated with minor trauma (GCS 13-15). MVTP (OR: 1.32; 95% CI, 1.21-1.44; p<0.001) was most often associated with moderate trauma (GCS 9-12). MVTO (OR: 1.62; 95% CI, 1.57-1.66; p<0.001), MVTM (OR: 1.20; 95% CI, 1.91-2.08; p<0.001), MVTP (OR: 2.15; 95% CI, 2.05-2.26; p<0.001), and firearms (OR: 5.38; 95% CI, 5.09-5.69; p<0.001) were all most likely to be associated with severe trauma (GCS ≤8) (Table 3).

Glasgow Coma Score	Injury (total)	Frequency (% of total)	p value	Odds Ratio	95% Confidence Interval
13-15 (mild TBI)	Fall (n=46110)	38955 (84.5)	<0.001	2.277	2.214-2.342
	MVT-occupant (n=39150)	26749 (68.3)	<0.001	0.666	0.649-0.682
	Struck by/against (n=25324)	21869 (86.4)	<0.001	2.427	2.338-2.521
	MVT-motorcyclist (n=10994)	6863 (62.4)	<0.001	0.544	0.522-0.566
	MVT-pedestrian (n=7775)	4585 (59.0)	<0.001	0.473	0.451-0.495
	Firearms (n=5273)	2016 (38.2)	<0.001	0.199	0.188-0.210
	Fall (n=46110)	2546 (5.5)	0.372	0.979	0.934-1.026
	MVT-occupant (n=39150)	2167 (5.5)	0.506	0.983	0.936-1.033
	Struck by/against (n=25324)	1197 (4.7)	<0.001	0.811	0.762-0.863
9-12 (moderate TBI)	MVT-motorcyclist (n=10994)	615 (5.6)	0.968	0.998	0.918-1.086
	MVT-pedestrian (n=7775)	557 (7.2)	<0.001	1.320	1.208-1.442
	Firearms (n=5273)	337 (6.4)	0.011	1.156	1.033-1.294
≤8 (severe TBI)	Fall (n=46110)	4609 (10)	<0.001	0.355	0.343-0.366
	MVT-occupant (n=39150)	10234 (26.1)	<0.001	1.617	1.574-1.661
	Struck by/against (n=25324)	2258 (8.9)	< 0.001	0.348	0.333-0.364
	MVT-motorcyclist (n=10994)	3516 (32.0)	<0.001	1.998	1.915-2.083
	MVT-pedestrian (n=7775)	2633 (33.9)	<0.001	2.151	2.049-2.258
	Firearms (n=5273)	2920 (55.4)	< 0.001	5.381	5.090-5.690

#### Injury Severity and Degree of Traumatic Brain Injury

Assault injuries were mostly associated with minor injury (OR: 1.67; 95% CI, 1.63-1.72; p<0.001) while self-inflicted injuries (OR: 5.24; 95% CI, 4.87-5.64; p<0.001) were mostly associated with very severe injury according to ISS. Similarly, assault injuries had the greatest odds of association with minor TBI (OR: 1.36; 95% CI, 1.32-1.40; p<0.001) and self-inflicted injuries, with severe TBI (OR: 8.06; 95% CI, 7.45-8.72; p<0.001) (Figure 4A).

Optic nerve and visual pathway injuries were associated with greater odds of severe TBI than other injuries (OR: 2.91; 95% CI, 2.70-3.14; p<0.001). Eye/adnexal contusions (OR: 1.25; 95% CI, 1.22-1.28; p<0.001) were most associated with minor TBI. Open globe injuries were most associated with severe TBI compared to lower levels of TBI (OR: 1.76; 95% CI, 1.68-1.85; p<0.001) (Figure 4B). Traumatic optic neuropathy was more associated with mortality (OR: 2.27; 95% CI, 2.02-2.55; p<0.001) than other visual pathway injuries. Of ocular motor nerve palsies, third nerve palsy had greatest association with severe TBI (OR: 4.32; 95% CI, 3.76-4.96; p<0.001), while sixth nerve palsy was most associated with moderate TBI (OR: 2.00; 95% CI, 1.62-2.48; p<0.001). There was no strong relation between fourth nerve palsy and any TBI category. Of the related head injuries, skull base fractures had the greatest odds of severe TBI (OR: 4.07; 95% CI, 3.95-4.21; p<0.001), while intracerebral hemorrhages (OR: 4.28; 95% CI, 4.10-4.48; p<0.001) and skull base fractures (OR: 3.74; 95% CI, 3.57-3.91; p<0.001) had similarly high odds of mortality-related trauma.

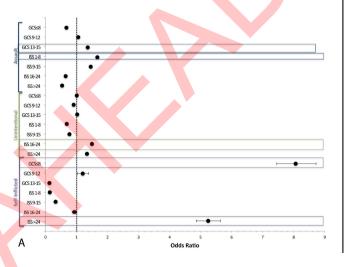
# Discussion

TBI has received the most attention in relation to sport and combat-related injury, but is now increasingly recognized in

other forms of trauma. Numerous studies have examined the visual and ocular sequelae of TBI. 9,10,12,13,14,15,16,17 However, few have evaluated the concurrence of ocular trauma and TBI and the circumstances of injury. 18,19,20,21,22,23 It is important for physicians to understand this association and identify at-risk populations, as they will frequently encounter patients with these comorbidities and may be a part of multidisciplinary teams managing the acute care and long-term rehabilitation.

We found that a majority of patients admitted with ocular injuries had associated TBI. These patients were predominantly male, white, between 21 and 64 years old, and from the southern United States. Most injuries resulted from blunt force trauma and were unintentional. Although falls were the most common mechanism for the whole group, mechanisms varied amongst different demographic groups. Males were more often injured by SBA, MVTM, and firearms, and females by falls and MVTO. Furthermore, males were more likely to suffer assault or self-inflicted injury, while females were more likely to suffer unintentional injury. Scruggs et al.27 in their study of ocular injuries in trauma patients using weighted NTDB data also found that patients were more likely to be male and white. However, their average age was 38.2 years, which is younger than the average of 41.8 years in our study. Also, they found MVTO to be the most frequent mechanism of injury among patients with ocular injury of any type, with falls being the next most frequent. These differences likely resulted from sourcing different datasets and our subgroup focus, which may have skewed our findings towards an older group more prone to falls.

Falls were often associated with moderate injury severity and minor TBI, and occurred most at home and in residential facilities. However, GCS has been shown to be a poor predictor of mortality in older adults, as they tend to present with higher GCS (lower TBI level) relative to injury severity compared to



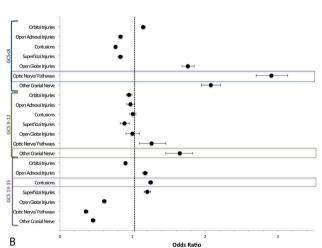


Figure 4. (A) Summary of the simple logistic regression analysis of injury intention and injury severity. Assault was most associated with minor TBI (GCS 13-15) and ISS (1-8) (p<0.001), while self-inflicted injury had 9 times greater odds of association with severe TBI (GCS ≤8) and 5 times greater odds of very severe ISS (>24). (B) Summary of the simple logistic regression analysis of ocular trauma and severity of TBI. Of all injuries, optic nerve/visual pathway injuries had the highest odds of association with severe TBI (GCS ≤8), other cranial nerve injuries with moderate TBI (GCS 9-12), and contusions with mild TBI (GCS 13-15)

GCS: Glasgow Coma Score, ISS: Injury Severity Score, TBI: Traumatic brain injury

younger patients.<sup>28</sup> Furthermore, the mortality from falls among older adults in the United States has been shown to be very high.<sup>29</sup> Han et al.<sup>30</sup> examined ethnic and racial differences in falls in adults older than 65 years and found that falls and consequent mortality was highest amongst white patients compared to black and Hispanic patients. This is consistent with our findings that falls were most common among white patients. Although previous literature has addressed both diagnoses separately, to our knowledge, there have not been any studies of concurrent TBI and ocular injury resulting from falls.

Gardner et al.<sup>31</sup> conducted a scoping review to examine TBI in older adults and found that over 50% of those aged 65-74 years had TBI from falls, which increased to over 70% in the 75-84 years group and over 80% in those 85 and older. Furthermore, they found that most patients with TBI tended to be white females. Results are similar in studies examining ocular injury alone. McGwin et al.<sup>32</sup> used the National Hospital Ambulatory Medical Care and National Hospital Discharge surveys to evaluate eye injuries in the United States. Of 422,604 patient visits, they found that falls were highest in females older than 60 years of age. These findings concur with our results of fall-related eye injuries being most frequently observed in women and in patients 65 and older. In a study of isolated orbital fractures, Toivari et al.<sup>33</sup> also found that falls were the most frequent mechanism of injury in the older age group.

With respect to racial variations, we found that young black patients represented an especially vulnerable population. Our study revealed that black patients incurred their injuries mostly from assault and were at highest risk for firearm-related injury than another race/ethnicity. Firearm-related injuries were mostly associated with very severe injury and severe TBI, carrying implications for poor outcomes for this group. Our findings are supported by McGwin et al.<sup>34</sup>, who identified young, male, black patients to be at highest risk of gun-related eye injury. Bertisch et al.35 examined 399 survivors of firearm brain injury and found that black patients were disproportionately affected and often victims of assault. In our study, unintentional injuries outnumbered other intentions among white patients, although white and male patients were more prone to self-inflicted injuries than other group. These injuries had the highest ISS and levels of TBI, a finding that comports with other studies. 36,37 Most of these patients survived their injuries and represent an at-risk group that can be targeted to prevent further attempts.

Orbital injuries and eye/adnexal contusion were the most frequently identified ocular injuries concurrent with TBI, while open-globe injuries were less common. Weichel et al. 18 studied combat-related ocular trauma and found that TBI was present in 66.4% of cases. Closed-globe injuries were more frequent than open-globe injuries. Their study also revealed that TBI ranged evenly from mild to severe. Although our study revealed a similar preponderance of ocular injuries, TBI was mostly mild. This might reflect the relative severity of combat-related versus civilian injuries. Despite these differences, we noted that concurrent open-globe injury and TBI were mostly associated with severe TBI rather than other levels of TBI (Figure 4B). This

implies greater injury severity that might impact management planning by ophthalmic surgeons as part of the multidisciplinary trauma team.

Retinal injuries occurred in 6.2% of all patients with TBI in this population. Several reports have associated retinal findings in patients with TBI.<sup>23,38,39,40</sup> In a recent study that looked specifically at ocular injuries in children with documented abusive head trauma, Weiss et al.<sup>40</sup> used the same NTDB source and found that retinal edema was more associated with severe TBI (GCS <8) (OR: 1.19; p=0.051) and severe ISS: 16-24 (OR: 1.21; p=0.030) than other categories of injury severity. In the present study, retinal hemorrhages also had the greatest association with severe ISS (OR: 1.69; p=0.005), but there was no propensity for association with any category of TBI.

Traumatic optic neuropathy represented 1.5% of all ocular injuries with TBI and had the highest association with mortality. Warner and Eggenberger<sup>41</sup> estimated that traumatic optic neuropathy occurred in 0.5-5% of closed head injuries and up to 10% of craniofacial fractures, which comports with our findings. Contrary to other studies, we found that abducens (sixth) nerve injuries outnumbered oculomotor (third) and trochlear (fourth) nerve injuries. Gise et al.<sup>19</sup>, who found similar relative frequencies in pediatric ocular motor nerve injuries with TBI, suggested this is likely due to observer bias. Emergency department physicians evaluating patients in the context of acute major trauma may find it easier to identify the adducted eye of sixth nerve palsy than other ocular motor nerve palsies which might require more patient cooperation and a skilled examiner. Heo and Lambert<sup>22</sup> used claims data (2007-2016) and assessed rates of muscle transposition surgery and chemodenervation in third, fourth, and sixth nerve palsy in patients with TBI. They found that out of 2,606,600 patients with TBI, 1,851 patients (0.071%) had ocular motor nerve palsy and fourth nerve palsies were most frequent (37.7%). They also noted that third nerve palsy was most associated with moderate to severe TBI. We similarly found a stronger association between severe TBI and third nerve palsies compared to other ocular motor nerve palsies.

#### **Study Limitations**

This study has several strengths, including the large dataset which allows a detailed analysis. Also, the availability of grades of GCS and ISS enabled analysis of associations between mechanisms, intentions, and various injuries with grades of TBI and global body injury. However, important limitations include the retrospective, database-sourced design. The NTDB is submitted by trauma teams and the spectrum of reported ocular injuries may represent underestimations. Ophthalmic injury details were grouped in broad categories without details that usually are subsequently outlined by ophthalmic surgeons. Citirik et al.<sup>23</sup> detailed clinical and ancillary findings in patients with Terson's syndrome and TBI, and visual outcomes following pars plana vitrectomy. Vision improved in all patients, all of whom were operated on more than 6 months post-injury. These findings are useful and might help guide ophthalmic

surgeons managing this ocular trauma. Similar specific clinical, management, and outcome details are not available in the NTDB.

OTS, a system of grading the degree of ocular trauma using injury variables (initial vision, ruptured globe, endophthalmitis, perforating injury, retinal detachment, and afferent pupillary defect), to predict final visual outcome is not available in this database. Ophthalmic outcomes are also missing from the NTDB. In a recent paper, Sia et al. Peported visual outcomes of 88 patients at Walter Reed National Military Medical Center admitted following combat-related trauma. They found that patients with ocular trauma alone had better vision-related quality of life than those with ocular trauma and TBI.

Lastly, this data also employed ICD-9-CM codes used between 2008-2014, which are not as specific as new ICD-10-CM codes. We did not use more recent data using the ICD-10-CM to ensure uniformity of code style for consistent analysis. With respect to the most documented retinal injury of "retinal edema", commotio retinae/Berlin's edema is classified under the non-specific ocular contusion/concussion with the ICD-9-CM code 921.3. The current ICD-10-CM is similarly non-specific. The American Academy of Ophthalmology has recommended using H35.81 for "other specified retinal disorders; retinal edema for commotio retina", with the addition of a mechanism of injury.<sup>42</sup>

Despite these limitations, the findings disclosed herein are worthy of consideration. A recent meta-analysis reviewed ocular trauma publications over the last 20 years and found reported ocular trauma with concomitant TBI in 38-64% of open-globe injuries and 39-47% in closed-globe cases. In the Walter Reed Ocular Trauma Database, 40% of patients with ocular trauma had concomitant TBI.<sup>21</sup> Although the reviewed reports all detail combat-related injuries, they comport with our findings of TBI in 58% of all ocular trauma of all mechanisms. Physicians managing trauma need to be aware of the frequent concurrence of TBI and ocular trauma and be acquainted with the spectrum of ophthalmic sequelae of TBI that may require long-term rehabilitation after acute surgical intervention.

# Conclusion

TBI was a frequent finding in admitted trauma patients with ocular injury. Males and patients in their productive years were most frequently affected. Mechanisms and intentions of injuries varied with demographic groups. Similar analytical studies that confirm our findings will not only help inform providers of the frequent concurrence of ocular trauma and TBI in the general population but may help guide screening and rehabilitation efforts and help policy makers develop focused interventional strategies that measurably reduce ocular trauma.

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#### Ethics

Ethics Committee Approval: The institutional review board of the Albert Einstein College of Medicine approved this evaluation of the National Trauma Data Bank (NTDB) (approval no: #2015-4769, date: 04.08.2015).

Informed Consent: All data in the NTDB is de-identified and patient consent was deemed unnecessary.

#### **Authorship Contributions**

Concept: T.T., C.H.H., J.N.M., Design: T.T., C.H.H., J.N.M., Data Collection or Processing: K.Z., T.T., C.H.H., A.P., J.N.M., Analysis or Interpretation: K.Z., T.T., C.H.H., A.P., J.N.M., Literature Search: K.Z., J.N.M., Writing: K.Z., T.T., C.H.H., A.P., J.N.M.

**Conflict of Interest:** No conflict of interest was declared by the authors.

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